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Attorney for the United States,
Acting Pursuant to 28 U.S.C. § 515

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UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

UNITED STATES OF AMERICA	:	Hon. Esther Salas, U.S.D.J.
v.	:	Crim. No. 23-1075 (ES)
NICCO ROMANOWSKI,	:	NOTICE OF FORFEITURE
Defendant.	:	<u>Electronically Filed</u>

NOTICE IS HEREBY GIVEN that on December 19, 2023, in the above-captioned case, the United States District Court for the District of New Jersey entered a Preliminary Order of Forfeiture (Final as to the Defendant) forfeiting the to the United States of America the specific property listed on Exhibit A to this Notice.

YOU ARE HEREBY NOTIFIED that the United States intends to dispose of this property as provided by law.

Any person, other than the defendant, claiming a legal right, title, or interest in any of the property described above must petition the United States District

Court for the District of New Jersey for a hearing to adjudicate the validity of the petitioner's alleged legal interest in this property.

If direct notice of the forfeiture is or was provided to the petitioner, the petition must be filed with the United States District Court for the District of New Jersey no later than 35 days from the date of mailing of direct notice. If direct notice is not provided to the petitioner, the petition must be filed with the United States District Court for the District of New Jersey within 60 days from the first day of publication of notice on the government internet site www.forfeiture.gov, pursuant to 21 U.S.C. § 853(n)(2),¹ Rule 32.2(b)(6) of the Federal Rules of Criminal Procedure, and Rules G(4) and G(5) of the Supplemental Rules for Admiralty or Maritime Claims and Asset Forfeiture Actions. If a hearing is requested, it shall be held before the Court alone, without a jury.

Pursuant to 21 U.S.C. § 853(n)(3), the petition must be signed by the petitioner under penalty of perjury; shall set forth the nature and extent of the petitioner's right, title, or interest in the forfeited property; the time and circumstances of the petitioner's acquisition of the right, title, or interest in the property; any additional facts supporting the petitioner's claim; and the relief sought.

Pursuant to 21 U.S.C. § 853(n)(2), neither the defendant in the above-styled case nor his or her agent is entitled to file a petition.

¹ The procedures governing criminal forfeitures set forth in 21 U.S.C. § 853 (except for 21 U.S.C. § 853(d)) are incorporated by reference in this case, pursuant to 28 U.S.C. § 2461(c) and 18 U.S.C. §§ 924, 981, 982(b)(1), 2253(b), and/or 2428.

The petition shall be filed with the Clerk of the Court, United States District Court, District of New Jersey, Martin Luther King Federal Building and U.S. Courthouse, 50 Walnut Street, Room 4105, Newark, New Jersey 07102, with a copy sent to the Assistant United States Attorney indicated on the top of page 1 of this Notice, United States Attorney's Office, District of New Jersey, 970 Broad Street, 7th Floor, Newark, New Jersey 07102.

Pursuant to 21 U.S.C. § 853(n)(4), any hearing on the petition shall, to the extent practicable and consistent with the interests of justice, be held within 30 days of filing of the petition. The court may consolidate the hearing on the petition with any other hearings requested on any other petitions filed by any other person other than the defendant named above.

Pursuant to 21 U.S.C. § 853(n)(5), at the hearing, you have the right to testify and present evidence and witnesses on your own behalf and cross-examine witnesses who appear at the hearing. The United States may present evidence and witnesses in rebuttal and in defense of its claim to the property and cross-examine witnesses who appear at the hearing. In addition to testimony and evidence presented at the hearing, the Court shall consider the relevant portions of the record of the criminal case that resulted in the entry of the Preliminary Order of Forfeiture.

Pursuant to 21 U.S.C. § 853(n)(6), if, after the hearing, the Court determines that the petitioner has established by a preponderance of the evidence that: (a) the petitioner has a legal right, title or interest in the property, and such right, title or

interest renders the Preliminary Order of Forfeiture invalid in whole or in part because the right, title, or interest was vested in the petitioner rather than the defendant or was superior to any right, title, or interest of the defendant at the time of the commission of the acts which gave rise to the forfeiture of the property; or (b) the petitioner is a bona fide purchaser for value of the right, title, or interest in the property and was at the time of purchase reasonably without cause to believe that the property was subject to forfeiture; the Court shall amend the Preliminary Order of Forfeiture in accordance with its determination.

IF YOU FAIL TO FILE A PETITION to assert any right, title, or interest you may have in the above-described property within the time period set forth on page 2 of this Notice, any right, title, or interest you may have had in the property described in this Notice shall be lost and forfeited to the United States. Following the disposition of any other petitions that may be filed, the United States then shall have clear title to the property herein described and may warrant good title to any subsequent purchaser or transferee.

DATED this 26th day of March, 2024.

VIKAS KHANNA
Attorney for the United States,
Acting Pursuant to 28 U.S.C. § 515

s/Barbara A. Ward
By: BARBARA A. WARD
Assistant United States Attorney

Exhibit A

1. All funds on deposit in JPMorgan Chase account no. XXXXX2579, held in the name of Platinum Funding Associates, LLC (approximately \$639,573.86);
2. All funds on deposit in JPMorgan Chase account no. XXXXX3192, held in the name of Platinum Funding Associates, LLC (approximately \$125,874.22);
3. One 2015 Ferrari Berlinetta, VIN ZFF74UFA7F0209644;
4. One 2018 BMW X6, VIN 5YMKW8C53J0Y74493;
5. One 2019 Lamborghini Huracán, VIN ZHWUC2ZF0KLA11393;
6. One 2018 Dodge Caravan, VIN 2C4RDGCG0JR268292;
7. One 2018 Dodge Caravan, VIN 2C4RDGEG0JR223866;
8. One 2018 Dodge Caravan, VIN 2C4RDGCG4JR267145;
9. All funds and other property held or managed by Caxton Alternative Management A/K/A CAM Capital in the name of, or for the benefit of Nicco Romanowski, or any entity owned, managed, or controlled, in whole or in part, by Nicco Romanowski, including but not limited to Platinum Funding Associates, LLC, in any and all investments, loan transactions, dividends, and returns on investment; and
10. Any and all interest held by, in the name of, or for the benefit of Nicco Romanowski, or any entity owned, managed, or controlled, in whole or in part, by Nicco Romanowski, including but not limited to Platinum Funding Associates, LLC, in any and all investments, loan transactions, dividends, and returns on investment, in the following:
 - (a) TVT Capital LLC;
 - (b) C6 Capital, LLC;
 - (c) ACH Capital LLC;
 - (d) Worldwide Capital Management, Inc.;
 - (e) Lendtek Capital Funding, LLC a/k/a Lendtek;
 - (f) Stacked Capital;

- (g) Broad Street Capital Group;
- (h) Lilogy; and
- (i) Kalamata Capital Group LLC.